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Washington, D.C. 20231

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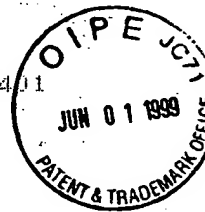
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/266,543 03/11/99 HOLADAY

J 05213-0075

JEAN DEAN JOHNSON
JONES & ASKEW
191 PEACHTREE STREET SUITE 3700
ATLANTA GA 30303-1769

0222/04/11



NOT ASSIGNED

1643

DATE MAILED:

04/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 890.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with th reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

06/23/1999 TLW11 00000041 09266543 380.00 OP 65.00 OP

Seedor
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IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of: **Holaday et al.**

Application No.: **09/266,543**

Filed: **March 11, 1999**

For: **Compositions and Methods for
Treating Cancer and Hyperproliferative
Disorders**



Attorney's Docket No.
05213-0075

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Transmitted herewith for filing are:

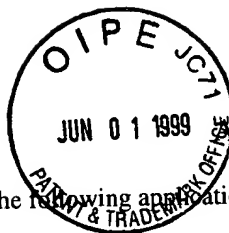
- ☒ Copy of Notice to File Missing Parts of Application — Filing Date Granted
- ☒ Declaration and Power of Attorney
- ☒ Verified statements to establish small entity status under 37 C.F.R. §1.9 and 37 C.F.R. §1.27
- ☒ Fee Transmittal
- ☒ A check in the amount of **\$445.00** to cover the filing fee is enclosed.
- ☐ Petition for Extension of Time Under 37 C.F.R. § 1.136
- ☐ A check in the amount of \$ to cover the ___-month extension fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment to Account No. 10-1215. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 28, 1999.

Sima Singadia Kulkarni
Sima Singadia Kulkarni Reg. No. 43,732

JONES & ASKEW, LLP
2400 Monarch Tower
3424 Peachtree Road, N.E.
Atlanta, Georgia 30326
Telephone: **404-949-2400**

By: *Sima Singadia Kulkarni*
Sima Singadia Kulkarni
Reg. No. 43,732



FEE TRANSMITTAL

Attorney Docket No. 05213-0075

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Holaday, et al.**

Serial No.: **09/266,543**

Filing Date: **March 11, 1999**

Title: **Compositions and Methods for Treating Cancer and Hyperproliferative Disorders**

The filing fee is calculated as shown below:

1. FILING FEE:

| FOR: | SMALL ENTITY | | LARGE ENTITY | |
|--|--------------|----------|--------------|----------|
| | FEE | FEE PAID | FEE | FEE PAID |
| <input checked="" type="checkbox"/> UTILITY FILING FEE | \$380 | 380.00 | \$760 | |
| <input type="checkbox"/> DESIGN FILING FEE | \$155 | | \$310 | |
| <input type="checkbox"/> PLANT FILING FEE | \$240 | | \$480 | |
| <input type="checkbox"/> REISSUE FILING FEE | \$380 | | \$760 | |
| <input type="checkbox"/> PROVISIONAL FILING FEE | \$75 | | \$150 | |
| SUBTOTAL (1) | | \$380.00 | | \$xxx |

2. CLAIMS:

| FOR: | SMALL ENTITY | | | LARGE ENTITY | |
|---|--------------|-----------|--------|--------------|-------|
| | NO. FILED | NO. EXTRA | RATE | RATE | FEE |
| TOTAL CLAIMS | 3 - 20 = | 0 | x 9 = | x 18 = | |
| INDEP. CLAIMS | 1 - 3 = | 0 | x 39 = | x 78 = | |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | +130 = | +260 = | |
| SUBTOTAL (2) | | | \$0 | | \$xxx |

3. ADDITIONAL FEES:

| FOR: | SMALL ENTITY | | LARGE ENTITY | |
|--|--------------|----------|--------------|----------|
| | FEE | FEE PAID | FEE | FEE PAID |
| <input checked="" type="checkbox"/> LATE FILING, FEE OR OATH | \$65 | 65.00 | \$130 | |
| <input type="checkbox"/> NON-ENGLISH SPECIFICATION | \$130 | | \$130 | |
| <input type="checkbox"/> OTHER | | | | |
| SUBTOTAL (3) | | \$65.00 | | \$xxx |

TOTAL FILING FEES: \$445.00

A check is enclosed for the total amount: **\$445.00**

☐ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 10-1215.

JONES & ASKEW, LLP
2400 Monarch Tower
3424 Peachtree Road, N.E.
Atlanta, Georgia 30326
Telephone: 404-949-2400

By: *Sima Singadia Kulkarni*
Sima Singadia Kulkarni, Attorney for Applicant
Reg. No. 43,732

Date: May 28, 1999